

# **Compliance Notice**

Research Administration and Compliance

No. 17-003

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notably, this document includes definitions for essential terminology and timeframes for registration and results reporting.

- 2) Use of the [Checklist for Evaluating Whether a Clinical Trial or Study is an Applicable Clinical Trial \(ACT\) is strongly encouraged to ensure early identification of ACTs](#). Note that ACTs have additional and different requirements, such as the required submission of Form FDA 3674 and the inclusion of specific language in informed consent forms.



## Registration

1)

b) However, the study record will not receive an NCT number until the QC

The protocol and statistical analysis plan must be submitted in a common electronic document format specified at \_\_\_\_\_ (42 CFR 11.48(a)(5))

Quality Control

13) All apparent errors,53(rRficierr)1rent and4oonstr.

- 2) A responsible party who commits a prohibited act(s) as defined by the FDA may be the subject of an injunction action or criminal prosecution brought by the Department of Justice.



2) [ICMJE Clinical Trial](#)